# APPLICATION FOR REGISTRATION: REAL ESTATE BRANCH OFFICE

Access this form via website at: www.state.hi.us/hirec

| Name of Applicant (Last, Firs<br>APPEARS ON LICENSE:                                    | t, Middle or Name of Corporation/Partnership/LLC/LLP) - AS IT |        | Effective Date | Registration No.<br>RBO - |
|---|---|--------|----------------|---------------------------|
|   |   |        |                | ·                         |
| Address of Branch Office (Include street address, suite no., city, state and zip code): |   |        |                |                           |
| Branch Office Mailing Addres  | ss, if different from above (Include apt. no. and zip code):  | OFFICE |                |                           |
| , , , , , , , , , , , , , , , , , , ,   | ,   | FOR (  |                |                           |
| License No.:<br>RB-   | Telephone No. of Principal Place of Business:                 |        |                |                           |
|   | Telephone No. of Branch Office:                               |        |                |                           |

### **INSTRUCTIONS**

Branch office registration applies only to offices located on an island different from the island on which the principal place of business is located. Only one (1) branch office is required to be registered per island. Additional offices located on the same island as a principal place of business or registered branch office may be operated without registration.

- 1. Fill in all requested information. The application will not be processed if incomplete. Name of applicant must be exactly as licensed.
- 2. Each branch office shall have at least one (1) broker in charge. Submit a *Change Form* to register a broker in charge for the brokerage firm if the principal broker has not already designated a broker in charge. No change form fee is required if submitted with Application for Registration: Real Estate Branch Office.
- 3. The principal broker shall certify that the information provided is true and correct by signing below.

(Nonrefundable application fee - \$25 + Registration fee - \$25 + Education Fund fee - \$40 + Recovery Fund fee - \$50 + CRF - \$45)

Attach check payable to "Commerce and Consumer Affairs"

 Mail application to Real Estate Commission, PVL Licensing Branch, DCCA, P.O. Box 3469, Honolulu, HI 96801, or deliver to PVL Licensing Branch, 1010 Richards Street, 1<sup>st</sup> Floor, Honolulu.

### **CERTIFICATION**

I have read and understand the instructions, the laws and rules relating to real estate licenses, and have submitted all required documents and fees.

I understand that it is my responsibility to read the instructions thoroughly and to file a complete application, including required documents and fees. I hereby certify that the statements and answers on this application and accompanying documents are true and correct. I hereby certify that the place of business is in compliance with Chapter 467, Hawaii Revised Statutes, and Chapter 99, Hawaii Administrative Rules. I understand that any false or untrue statement or material misstatement of fact shall constitute grounds for refusal or subsequent revocation of license and is a misdemeanor under Hawaii law. (Sections 467-20 and 710-1017, HRS).

| RB-  |      |  |  |  |
|--|------|--|--|--|
| Signature of Principal Broker  | Date |  |  |  |
| This material can be made available for individuals with special needs. Please call the Licensing Branch Manager at (808) 586-3000 to submit your request. | App  |  |  |  |
| REC-09 0701R   | RF   |  |  |  |

## **CHANGE FORM - REAL ESTATE**

Access this form via website at: www.state.hi.us/hirec

Legal Name of Individual:

Submit **ORIGINALS** only - FAX and PHOTOCOPIES will not be accepted. Use a typewriter or print *LEGIBLY*. (BLACK INK ONLY) Read the instructions on the reverse side.

REAL ESTATE COMMISSION Mail to: DCCA, PVL Licensing Branch

P.O. Box 3469 Honolulu, HI 96801

1010 Richards St., 1st Floor Deliver to:

Honolulu, HI 96813

|  | License Nan   | ne (Individual's First, <b>I</b>   | Middle, LAST, or I  | Entity)  |                            |  | License Number   | Other Registrations   |  |
|--|---|--|---------------------|--|----------------------------|--|--|-----------------------|--|
|  | Residence L   | ocation Address, if in   | dividual & sole pro | oprietor: (NO P.O. BOX)  |                            |  | RB-  | CMA-                  |  |
|  |   |  |                     |  |                            |  | RS-  | CHO-                  |  |
|  | Business Ac   | ddress only if entity: (N  | NO P.O. BOX)        |  |                            |  | Phone No. (days)   |                       |  |
|  | Mailing Addı  | ress:  |                     |  |                            |  | L  |                       |  |
| PART 1. For Licensee to complete                         | [ ] Change RESIDENCE LOCATION AD Signature of licensee required. [ ] Change MAILING ADDRESS. Command signature of licensee required. [ ] CHANGE BROKER. See instructions. [ ] PLACE LICENSE INACTIVE. See in PLACE LICENSE INACTIVE. See in Struction Entity/Branch Office: Signing below address is in compliance with Chapt HAR.  Fee: \$75 for entity/branch office \$25 for salesperson and broke 1. Change to SOLE PROPRIETOR. Some You must file an "Application for Proprietor". [ ] Change of/to PRINCIPAL BROKE reverse. Fee: \$10 [ ] Change to Add or Release BROKER on the reverse. Fee: \$10 [ ] Change from broker-salespers [ ] Change from broker in charge 1. Change from broker in charge 2. Pocket License 1. Fee: \$10 for each license or certificat 2. Temporary Principal Broker or Broke instructions on the reverse. |  |                     | branch office. Signing is in compliance with \$10  Is this a home occup New business addres.  On the reverse.  on the reverse.  certifies that the business 467, HRS, and Chapter 99,  allesperson.  instructions on the reverse.  cense: Real Estate Sole  See instructions on the  CHARGE. See instructions  to broker in charge. brone Number:  Surrender license (in licensee required. See instructions on the submit a copy of the state has been filed-Commerce and Content of the certificate and copy of Filed-stamped copy Filed-stamped copy Filed-stamped copy filed-stamped copy and state of the certificate and copy of the |                            | Change BUSINESS A branch office. Signing I is in compliance with Ch \$10 Is this a home occupation New business address:  Phone Number:  Surrender license (indilicensee required. See Change, Add or Delett proprietor). Fee: \$10 From:  To:  Delete:  Submit a copy of the "that has been filed-star Commerce and Consur Change legal NAME Coertificate and copy of I Filed-stamped copy of Business Registration Individual: Marriage cerection Change of license name Change to:  Chang | ADDRESS of principal place of business or g below certifies that the new business address Chapter 467, HRS, and Chapter 99, HAR. Fee: tion office: YES NOs:  dividual, entity, or branch office). Signature of e instructions on the reverse.  ete TRADE NAME (entity only, includes sole  "Application for Registration of Trade Name" amped by the Business Registration Division, umer Affairs.  OF ENTITY or INDIVIDUAL. Attach old wall flegal document showing name change (Entity: of "Certificate of Amendment" filed with the in Division, Commerce & Consumer Affairs. Pertificate, divorce decree, etc.). Fee: \$10 |                       |  |
|  | Sigr<br>(coi  | nature of Temporary B<br>mplete Part 2B)   | Broker Acknowled    | ging Assignment  |                            |  |  |                       |  |
| Φ  | PART 2A.  | DATE<br>RELEASED   |                     | Releasing Broker ( <i>Principal Bro</i><br>e licensee was registered). Ple   |                            |  |  | License No. of Broker |  |
| PART 2<br>For Releasing and/or<br>New Broker to Complete | Releasing Broker  NAME & FULL BUSINESS ADDRESS of Releasing Entity or Branch Zip Code)  |  |                     |  | License No. of Entity      |  |  |                       |  |
| PAR'<br>r Releasi<br>Broker t                            | PART 2B.  | PART 2B.  DATE HIRED  SIGNATURE of New Broker (Principal Broker, Sol office where licensee will be registered). Please principal broker. |                     |  |                            |  |  | License No. of Broker |  |
| Fo   | New NAME & FULL BUSINESS ADDRESS of New Entity or Branch Office (Trade Name, Number State and Zip Code)   |  |                     |  | rade Name, Number, Street, | , Suite No., City,   | RB-<br>License No. of Entity   |                       |  |
|  |   |  |                     |  |                            |  |  | RB-                   |  |

#### **CHANGE FORM INSTRUCTIONS**

- 1. Complete Part 1 of the form and check the appropriate box(es) for the change being requested. INCOMPLETE FORMS WILL NOT BE ACCEPTED.
- 2. Where supporting documents are required, submit ORIGINALS only, unless otherwise stated.
- 3. When a fee is required, the amount is indicated. Make checks payable to "Commerce and Consumer Affairs." A \$15 service fee will be charged for checks that are not honored by the financial institution, and the requested change will not be processed. If no fee is indicated, there is no fee for that change.
- 4. Obtain the appropriate signatures as indicated. Mail the form to REAL ESTATE COMMISSION; DCCA, PVL Licensing Branch; P.O. Box 3469; Honolulu, HI 96801 or deliver to 1010 Richards St., 1st Floor; Honolulu, HI 96813.
- 5. The effective date of a change will be as indicated on the form. You must submit changes within 10 days of the change as required by Rule 16-99-5. Failure to comply may result in disciplinary action.

### Additional information on specific changes is provided below:

<u>Change Broker:</u> Mark this box if the licensee is changing to a different broker immediately. Licensee must complete Part 1. Licensee's signature is required. If the licensee is currently affiliated with a broker, the former broker must complete Part 2A. The new principal broker or broker in charge must complete Part 2B.

<u>Place License Inactive</u>: Releasing principal broker or broker in charge must complete Part 2A and licensee's signature is required in Part 1. Return the wall certificate. If releasing licensee and unable to obtain licensee's signature, Rule 16-99-5.1(c) requires the broker to provide the licensee written notification.

#### Reactivate License/Registration:

<u>Salesperson/Broker-Salesperson</u>: Licensee must complete Part 1 and licensee's signature is required. New principal broker or broker in charge completes and signs Part 2B. Attach original continuing education certificates (CEs) if your license has not been active during current license biennium. If you are a new salesperson within the current biennium, CEs are not required. A \$25 reactivation fee is required.

Entity: The name of the entity appears in Part 1, License Name. Principal broker's signature is required. Attach a current "Certificate of Good Standing" obtained from the Business Registration Division of the Department of Commerce and Consumer Affairs, entity resolution (sample available from Licensing Branch, or via website at www.state.hi.us/hirec), and separate change form for the new principal broker and broker in charge(s) (if applicable), and all associating salespersons and broker-salespersons. If using a trade name, attach a file-stamped copy of current trade name registration approved by the Business Registration Division. A \$75 reactivation fee is required.

<u>Branch Office</u>: The name of the entity appears in Part 1, License Name. Principal broker's signature is required. Each branch office shall have at least one (1) broker in charge. Submit a change form to register a broker in charge for the brokerage firm if the principal broker has not already designated a broker in charge. A \$75 reactivation fee is required.

Sole Proprietor: Complete "Application for License: Real Estate Sole Proprietor" and follow the instructions on that form.

<u>Change to Sole Proprietor</u>: If currently affiliated with a broker, the releasing broker must complete Part 2A and licensee must complete Part 1 and Part 2B. If currently inactive, complete Part 1 and Part 2B. In addition, complete "Application for License: Real Estate Sole Proprietor" (available at Licensing Branch, or via website at <a href="https://www.state.hi.us/hirec">www.state.hi.us/hirec</a>). If using a trade name, attach a file-stamped copy of current trade name registration approved by the Business Registration Division of the Department of Commerce and Consumer Affairs. A \$40 fee is required.

<u>Change Principal Broker</u>: Check this box if the entity is changing its principal broker. This transaction requires change forms for each of the following: entity, new principal broker, and former principal broker as explained below. Only one (1) fee of \$10 is required for this transaction.

Corporate/Partnership/LLC/LLP: The License Name in Part 1 is the name of the entity. The former principal broker must complete Part 2B. Attach an entity resolution. Sample of an entity resolution is available from Licensing Branch, or via website at www.state.hi.us/hirec.

New Principal Broker: Principal broker's name appears at the top in Part 1, License Name. If previously affiliated with a brokerage firm, have your former principal broker complete Part 2A and new principal broker complete Part 2B. Licensee's signature is required.

<u>Former Principal Broker</u>: His or her name appears at the top in Part 1, License Name. If going to another firm, mark the "Change Broker" box and complete Part 2A and your new principal broker or broker in charge completes Part 2B. If going inactive, follow instructions to place license inactive. If this change form is not received, licensee will be automatically changed to broker-salesperson status with the same company.

#### **Change Broker In Charge:**

New broker in charge: Broker in charge's name appears in Part 1, License Name. If previously affiliated with another brokerage firm, have your former principal broker complete Part 2A. Have your new principal broker complete Part 2B. If a broker-salesperson currently affiliated with the brokerage firm, also mark "Change from broker-salesperson to broker in charge" box, and have the principal broker complete Parts 2A and 2B. If inactive, also mark the "Reactivate" box and follow the instructions to reactivate license. Licensee's signature is required. A \$10 change broker in charge fee is required.

Former broker in charge: His or her name appears in Part 1, License Name. If going to another firm, mark the "Change Broker" box and have the former principal broker complete Part 2A. Have your new principal broker complete Part 2B. If changing to a broker-salesperson within the brokerage firm, also mark "Change from broker in charge to broker-salesperson" box, and have the principal broker complete Parts 2A and 2B. Licensee's signature is required. If going inactive, follow instructions to place license inactive.

Appointing a Temporary Principal Broker or Broker In Charge: The name of the entity appears in Part 1, License Name. Complete Part 2A for appointing broker's signature and complete Part 2B for temporary broker's signature. Submit notification BEFORE the start of the period covered. A principal broker or broker in charge absent from the principal place of business for more than 30 calendar days, and no other broker in charge is registered with the principal place of business, must designate a temporary principal broker or temporary broker in charge. A temporary appointment may be made for up to 6 months, with an extension of 6 months subject to Commission approval. In case of death or prolonged illness, submit doctor's statement documenting illness or death certificate, whichever is appropriate.

<u>Surrender of License</u>: A licensee who has no pending complaints, investigations, disciplinary petitions filed against the licensee or disciplinary hearings may surrender the license. Attach wall certificate and pocket license. Once license is surrendered, the person who desires to engage in real estate activity in the future must meet all requirements as a new applicant.

Real Estate Commission DCCA, PVL Licensing Branch 1010 Richards St., P.O. Box 3469 Honolulu, Hawaii 96801

| To receive notification of approval to start business, fill in your name and complete mailing address in the block below on the "Notice of Registration" form.  |  |  |  |  |  |
|---|--|--|--|--|--|
| NOTICE OF REGISTRATION  | Professional and Vocational Licensing Division<br>Department of Commerce and Consumer Affairs<br>State of Hawaii |  |  |  |  |
| This is authorization to act as a <b>REAL ESTATE BRANCH OFFICE</b> and is in force until a registration can be processed.  VALID ONLY WHEN SIGNED BY THE EXECUTIVE OFFICER (or representative) of the REAL ESTATE COMMISSION. |  |  |  |  |  |
| Fill Name & Complete Mailing Address in Block Below:  | REGISTRATION NO. <u>RBO-</u>   |  |  |  |  |
|   | DATE APPROVED  |  |  |  |  |
|   |  |  |  |  |  |
|   | Executive Officer, Real Estate Commission  |  |  |  |  |